



*Resolution*  
*of the*  
***Board of County Commissioners***  
*St. Louis County, Minnesota*  
*Adopted on: October 8, 2013 Resolution No. 13-614*  
*Offered by Commissioner: Jewell*

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**Official Proceedings of the County Board of Commissioners**

RESOLVED, That the official proceedings of the St. Louis County Board of Commissioners for the meeting of October 1, 2013, are hereby approved.

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:  
Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5  
Nays – None  
Absent – Commissioners Miller and Raukar - 2

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**STATE OF MINNESOTA**  
Office of County Auditor, ss.  
County of St. Louis

I, **DONALD DICKLICH**, Auditor of the County of St. Louis, do hereby certify that I have compared the foregoing with the original resolution filed in my office on the 8<sup>th</sup> day of October, A.D. 2013, and that this is a true and correct copy.

WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

**DONALD DICKLICH, COUNTY AUDITOR**

By

Deputy Auditor/Clerk of the County Board



*Resolution*  
*of the*  
**Board of County Commissioners**  
*St. Louis County, Minnesota*  
*Adopted on: October 8, 2013 Resolution No. 13-615*  
*Offered by Commissioner: Jewell*

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**Health and Human Service Annual Conference Facility Payment**

WHEREAS, The St. Louis County Health & Human Service Conference (HHSC) has been held annually since 1989 and has grown through the years to its current enrollment of over 2,000 participants; and

WHEREAS, The mission of the conference is to bring together public and private sector practitioners for two days of training and networking, providing a forum for sharing innovative ideas, best practices and programs, strengthening community health and human services, and addressing the significant challenges of poverty and human suffering in our communities; and

WHEREAS, Prior to this year, accounting for the HHSC had been performed using a separate checking account and had not been a part of the county's accounting system; and

WHEREAS, Bringing the HHSC into the Mitchell Humphrey system and closing the checking account brings the HHSC Fund into conformity with county practices, policies and procedures; and

WHEREAS, The estimate of the cost for use of the Duluth Entertainment Convention Center (DECC) for the HHSC in 2013 is \$52,600, but the final figure will not be known until closer to the conference date;

THEREFORE, BE IT RESOLVED, That the St. Louis County Board authorizes payment of up to \$60,000 to the Duluth Entertainment Convention Center (DECC) for use of the facility for the annual St. Louis County Health & Human Service Conference, payable from Fund 855, Agency 855001, Object 634200.

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:  
Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5  
Nays – None  
Absent Commissioners Miller and Raukar - 2

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STATE OF MINNESOTA  
Office of County Auditor, ss.  
County of St. Louis

I, DONALD DICKLICH, Auditor of the County of St. Louis, do hereby certify that I have compared the foregoing with the original resolution filed in my office on the 8<sup>th</sup> day of October, A.D. 2013, and that this is a true and correct copy.

WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board



*Resolution*  
*of the*  
**Board of County Commissioners**  
*St. Louis County, Minnesota*  
*Adopted on: October 8, 2013 Resolution No. 13-616*  
*Offered by Commissioner: Jewell*

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**Acceptance of Grant from Carlton-Cook-Lake-St. Louis Community Health  
Board for Public Health Emergency Preparedness Funding**

WHEREAS, The State of Minnesota has received funds from the Centers for Disease Control and Prevention (CDC) for the period of July 1, 2013 through June 30, 2017 to assess and enhance the capacity of the state and local health departments to respond to bio-terrorism, infectious diseases, and other threats to public health and has allocated these funds to Community Health Boards; and

WHEREAS, The citizens of the four-county region will be better served due to the work done through the Community Health Board and this CDC grant to ensure the region is prepared to respond to public health threats; and

WHEREAS, The grant funding awarded to St. Louis County for the period July 1, 2013 through June 30, 2014 is in the amount of \$117,801, with funding for the remaining terms of the grant yet to be determined;

THEREFORE, BE IT RESOLVED, That the St. Louis County Board accepts funding from the Community Health Board in the amount of \$117,801 for the period July 1, 2013 through June 30, 2014, to be accounted for in Fund 230, Agency 233999, Grant 23601, Year 2013;

RESOLVED FURTHER, That the Board directs the Public Health and Human Services (PHHS) Department to work with County Administration to include grant allocations into the PHHS departmental budget for calendar years 2014, 2015, 2016, and 2017.

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:

Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5

Nays – None

Absent – Commissioners Miller and Raukar - 2

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STATE OF MINNESOTA  
Office of County Auditor, ss.  
County of St. Louis

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WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board



*Resolution*  
*of the*  
***Board of County Commissioners***  
***St. Louis County, Minnesota***  
*Adopted on: October 8, 2013 Resolution No. 13-617*  
*Offered by Commissioner: Jewell*

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**Acceptance of Flood Grant for Bridge Project  
on CR 255 (Duluth Township)**

WHEREAS, St. Louis County has applied to the Minnesota Commissioner of Transportation for a grant from the Minnesota State Transportation Fund for Bridge 69A15 on project SAP 69-598-043/CP 177713 on County Road 255 in Duluth Township; and

WHEREAS, The Commissioner of Transportation has given notice that funding for this bridge is available; and

WHEREAS, The amount of the grant has been determined to be \$835,536.66 Construction and \$126,605.00 Engineering, for a total of \$962,141.66;

THEREFORE, BE IT RESOLVED, That the St. Louis County Board agrees to the terms and conditions of the grant consistent with Minn. Stat. § 174.50, Subd. 5(3), and will pay any additional amount by which the cost exceeds the estimate, and will return to the Minnesota State Transportation Fund any amount appropriated for the project but not required;

RESOLVED FURTHER, That the appropriate county officials are authorized to execute a grant agreement with the Minnesota Commissioner of Transportation concerning the above-referenced grant.

|                           |                           |
|---------------------------|---------------------------|
| Acct 266 Flood Bond Funds | \$835,536.66 Construction |
|                           | \$126,605.00 Engineering  |
| Total Bond:               | \$962,141.66              |

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:

Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5

Nays – None

Absent – Commissioners Miller and Raukar - 2

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STATE OF MINNESOTA  
Office of County Auditor, ss.  
County of St. Louis

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WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board



*Resolution*  
*of the*  
**Board of County Commissioners**  
*St. Louis County, Minnesota*  
*Adopted on: October 8, 2013 Resolution No. 13-618*  
*Offered by Commissioner: Jewell*

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**Purchase of Four Tandem Axle Diesel Trucks**

WHEREAS, The Public Works Department 2013 FEMA storm budget included funding to replace four (4) tandem trucks as a FEMA alternate project (Project # RJS-018-00713) to be used for snow and ice control, and gravel hauling; and

WHEREAS, The Public Works Department and Purchasing Division presented specifications and requested State of Minnesota contract pricing for the Mack GU713 due to its quality, department experience, savings in inventory, and mechanic familiarity; and

WHEREAS, Twin Cities Mack & Volvo of Roseville, MN, responded with the state contract price for four (4) Mack GU713 tandem trucks of \$438,549.08, plus 6.5% state sales tax of \$28,505.69, for a total cost of \$467,054.77;

THEREFORE, BE IT RESOLVED, That the St. Louis County Board authorizes the purchase of four (4) 2014 Mack GU713 tandem trucks from Twin Cities Mack and Volvo Trucks of Roseville, MN, for a total cost of \$467,054.77, payable from Fund 225, Agency 161400, and Object 666300.

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:

Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5

Nays – None

Absent – Commissioners Miller and Raukar - 2

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**STATE OF MINNESOTA**  
Office of County Auditor, ss.  
County of St. Louis

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WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board



*Resolution*  
*of the*  
**Board of County Commissioners**  
*St. Louis County, Minnesota*  
*Adopted on: October 8, 2013 Resolution No. 13-619*  
*Offered by Commissioner: Jewell*

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**Purchase of Four Dump Bodies with Hydraulic Systems  
and Snow Fighting Equipment**

WHEREAS, The Public Works Department 2013 FEMA storm budget included funding to replace four (4) dump bodies with hydraulic systems and snow fighting equipment for trucks purchased separately as a FEMA alternate project (Project # RJS-018-00713); and

WHEREAS, Towmaster, Inc., of Litchfield, MN, responded with the State of Minnesota contract price for this purchase in the amount of \$424,076.62 plus state sales tax of \$29,155.27 for a total cost of \$453,231.89;

THEREFORE, BE IT RESOLVED, That the St. Louis County Board authorizes the purchase and installation of four (4) dump bodies with hydraulic systems and snow fighting equipment from Towmaster, Inc., of Litchfield, MN, for \$453,231.89, payable from Fund 225, Agency 161400, Object 666300.

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:  
Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5  
Nays – None  
Absent – Commissioners Miller and Raukar - 2

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**STATE OF MINNESOTA**  
Office of County Auditor, ss.  
County of St. Louis

I, **DONALD DICKLICH**, Auditor of the County of St. Louis, do hereby certify that I have compared the foregoing with the original resolution filed in my office on the 8<sup>th</sup> day of October, A.D. 2013, and that this is a true and correct copy.

WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board



*Resolution*  
*of the*  
***Board of County Commissioners***  
*St. Louis County, Minnesota*  
*Adopted on: October 8, 2013 Resolution No. 13-620*  
*Offered by Commissioner: Jewell*

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**Abatement List for Board Approval**

RESOLVED, That the St. Louis County Board approves the applications for abatements, correction of assessed valuations and taxes plus penalty and interest, and any additional accrual, identified in County Board File No. 59577.

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:  
Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5  
Nays – None  
Absent – Commissioners Miller and Raukar - 2

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**STATE OF MINNESOTA**  
Office of County Auditor, ss.  
County of St. Louis

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WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board



*Resolution*  
*of the*  
**Board of County Commissioners**  
*St. Louis County, Minnesota*  
*Adopted on: October 8, 2013 Resolution No. 13-621*  
*Offered by Commissioner: Jewell*

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**Electronic Format Assessment Fee**

WHEREAS, St Louis County had an electronic format assessment fee for many years; and  
WHEREAS, Due to the significant time and effort involved in dealing with adjusting and manipulating data submitted by clients, this fee was eliminated in 2012 for the 2013 calendar year fee schedule; and

WHEREAS, Elimination of this assessment submission option will have a significant cost impact to large submitter organizations, including local units of government and quasi-government units; and

WHEREAS, Acceptance of future submissions will be strictly dependent on adherence to format standards in order to minimize intervention and excess manipulation by Auditor staff;

THEREFORE, BE IT RESOLVED, That the St. Louis County Board authorizes the County Auditor to re-establish an electronic format submission fee for the 2013 calendar year.

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:

Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5

Nays – None

Absent – Commissioners Miller and Raukar - 2

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STATE OF MINNESOTA  
Office of County Auditor, ss.  
County of St. Louis

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WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board



*Resolution  
of the  
Board of County Commissioners  
St. Louis County, Minnesota  
Adopted on: October 8, 2013 Resolution No. 13-622  
Offered by Commissioner: Jewell*

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**Establish Public Hearing to Consider Adoption of 2014 Fee Schedule**

RESOLVED, That the St. Louis County Board will convene a public hearing at 9:50 a.m. on Tuesday, November 5, 2013, in the St. Louis County Courthouse, Duluth, Minnesota, to consider the adoption of the fee schedule for various county services for the year 2014.

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:  
Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5  
Nays – None  
Absent – Commissioners Miller and Raukar - 2

---

**STATE OF MINNESOTA**  
Office of County Auditor, ss.  
County of St. Louis

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WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board



*Resolution*  
*of the*  
***Board of County Commissioners***  
***St. Louis County, Minnesota***  
*Adopted on: October 8, 2013 Resolution No. 13-623*  
*Offered by Commissioner: Jewell*

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**Application and Acceptance of 2013 Port Security Grant**

WHEREAS, The Department of Homeland Security has made funding available to the Port of Duluth/Superior under the FY 2013 Port Security Grant Program; and

WHEREAS, The term of the grant is 24 months and the majority of the work would be done in 2014; and

WHEREAS, The Sheriff's Office is eligible to receive funding under this grant for investments important to increasing port security while strengthening core services; and

WHEREAS, The investment proposed would allow the Sheriff's Office and Volunteer Rescue Squad to acquire the latest in robotic and sonar technology from VideoRay, LLC, of Pottstown, PA;

THEREFORE, BE IT RESOLVED, That the St. Louis County Board authorizes application and acceptance of the 2013 Infrastructure Protection Program Grant for Port Security in the amount of \$99,771, to be accounted for in Fund 100, Agency 129999, Grant 12938, Year 2013, with a local match of \$33,256 to be paid by the Sheriff's Volunteer Rescue Squad, for a total project cost not to exceed \$133,027;

RESOLVED FURTHER, That the St. Louis County Board authorizes the appropriate county officials to sign any associated contract documents.

Commissioner Jewell moved the adoption of the Resolution and it was declared adopted upon the following vote:

Yeas – Commissioners Jewell, Forsman, Stauber, Nelson and Chair Dahlberg - 5

Nays – None

Absent – Commissioner Raukar - 2

---

STATE OF MINNESOTA  
Office of County Auditor, ss.  
County of St. Louis

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WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board



*Resolution*  
*of the*  
**Board of County Commissioners**  
**St. Louis County, Minnesota**

*Adopted on: October 8, 2013 Resolution No. 13-624*

*Offered by Commissioner: Nelson*

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**RESOLUTION OF THE BOARD OF COUNTY COMMISSIONERS OF ST. LOUIS COUNTY,  
MINNESOTA, APPROVING OFFICIAL TERMS OF PROPOSAL OF GENERAL OBLIGATION  
CAPITAL IMPROVEMENT BONDS, SERIES 2014A**

BE IT RESOLVED, by the Board of County Commissioners (the "Board") of St. Louis County, Minnesota (the "County"), as follows:

Section 1. Under and pursuant to the authority contained in Minnesota Statutes, Section 373.40, and Minnesota Statutes, Chapter 475 (collectively the "Act"), the County is authorized to issue general obligation capital improvement bonds to provide funds for capital improvements pursuant to an approved capital improvement plan.

Section 2. Pursuant to a Resolution adopted by the Board on October 1, 2013 (the "Resolution"), the Board stated its intention to issue general obligation capital improvement bonds in the maximum amount of \$6,500,000 for certain capital improvements, including correctional facility improvements, authorized in an additional amendment to the County's Capital Improvement Plan for the years 2013 through 2017 and approved by the Board on October 1, 2013.

Section 3. The Board hereby determines that it is necessary, expedient, and in the best interests of the County's residents that the County issue, sell and deliver its general obligation capital improvement bonds in the maximum amount of \$6,500,000, for the purpose of providing funds for the following capital improvements pursuant to the Capital Improvement Plan, as amended, for the years 2013 through 2017, and for costs of issuance of such bonds:

- Correctional Facility Improvements – improvements to the Northeast Regional Corrections Center, including improvements and upgrades to the main facility.

Section 4. The County's administrative staff is hereby authorized and directed to work with bond counsel, and Springsted Incorporated, independent financial adviser to the County, to solicit proposals and to arrange for the sale of the County's General Obligation Capital Improvement Bonds, Series 2014A in the maximum amount of \$6,500,000 (the "Bonds") for the purposes of and pursuant to the authorizations recited in Sections 2 and 3 above in substantial compliance with the Terms of Proposal attached hereto as Exhibit A. Each and all of the terms and provisions set forth in the Terms of Proposal are adopted and confirmed as the terms and conditions of the Bonds and the sale thereof. Due to a rapidly changing bond market and the County obtaining bids for the construction of the project, the County Auditor, after consulting with the County's financial advisor and bond counsel, is authorized to modify the Terms of Proposal prior to accepting the proposals, including but not limited to reducing the issue size of the Bonds based on the construction bids, and to make corresponding changes in the Terms of Proposal that result therefrom, and rescheduling the sale or cancelling the sale. The proposals shall be received at the administrative offices of Springsted Incorporated until 10:00 a.m. central time on November 12, 2013, and consideration for the award of the Bonds will be by the Board at 2:00 p.m. on the same day.

Section 5. The Board shall meet on November 12, 2013, in accordance with the Terms of Proposal, to consider proposals for the purchase of the Bonds and to take whatever actions are necessary for the acceptance or rejection of the proposals.

Adopted: October 8, 2013.

**EXHIBIT A**

**THE COUNTY HAS AUTHORIZED SPRINGSTED INCORPORATED TO NEGOTIATE THIS ISSUE ON ITS BEHALF. PROPOSALS WILL BE RECEIVED ON THE FOLLOWING BASIS:**

**TERMS OF PROPOSAL**

**\$6,500,000\***

**ST. LOUIS COUNTY, MINNESOTA**

**GENERAL OBLIGATION CAPITAL IMPROVEMENT BONDS, SERIES 2014A**

**(BOOK ENTRY ONLY)**

Proposals for the Bonds and the Good Faith Deposit ("Deposit") will be received on Tuesday, November 12, 2013, until 10:00 A.M., Central Time, at the offices of Springsted Incorporated, 380 Jackson Street, Suite 300, Saint Paul, Minnesota, after which time proposals will be opened and tabulated. Consideration for award of the Bonds will be by the County Board at 2:00 P.M., Central Time, of the same day.

**SUBMISSION OF PROPOSALS**

Springsted will assume no liability for the inability of the bidder to reach Springsted prior to the time of sale specified above. All bidders are advised that each Proposal shall be deemed to constitute a contract between the bidder and the County to purchase the Bonds regardless of the manner in which the Proposal is submitted.

(a) **Sealed Bidding.** Proposals may be submitted in a sealed envelope or by fax (651) 223-3046 to Springsted. Signed Proposals, without final price or coupons, may be submitted to Springsted prior to the time of sale. The bidder shall be responsible for submitting to Springsted the final Proposal price and coupons, by telephone (651) 223-3000 or fax (651) 223-3046 for inclusion in the submitted Proposal.

**OR**

(b) **Electronic Bidding.** Notice is hereby given that electronic proposals will be received via PARITY<sup>®</sup>. For purposes of the electronic bidding process, the time as maintained by PARITY<sup>®</sup> shall constitute the official time with respect to all Bids submitted to PARITY<sup>®</sup>. *Each bidder shall be solely responsible for making necessary arrangements to access PARITY<sup>®</sup> for purposes of submitting its electronic Bid in a timely manner and in compliance with the requirements of the Terms of Proposal.* Neither the County, its agents nor PARITY<sup>®</sup> shall have any duty or obligation to undertake registration to bid for any prospective bidder or to provide or ensure electronic access to any qualified prospective bidder, and neither the County, its agents nor PARITY<sup>®</sup> shall be responsible for a bidder's failure to register to bid or for any failure in the proper operation of, or have any liability for any delays or interruptions of or any damages caused by the services of PARITY<sup>®</sup>. The County is using the services of PARITY<sup>®</sup> solely as a communication mechanism to conduct the electronic bidding for the Bonds, and PARITY<sup>®</sup> is not an agent of the County.

If any provisions of this Terms of Proposal conflict with information provided by PARITY<sup>®</sup>, this Terms of Proposal shall control. Further information about PARITY<sup>®</sup>, including any fee charged, may be obtained from:

PARITY<sup>®</sup>, 1359 Broadway, 2<sup>nd</sup> Floor, New York, New York 10018  
Customer Support: (212) 849-5000

**DETAILS OF THE BONDS**

The Bonds will be dated as of the date of delivery, as the date of original issue, and will bear interest payable on June 1 and December 1 of each year, commencing June 1, 2014. Interest will be computed on the basis of a 360-day year of twelve 30-day months.

The Bonds will mature December 1 in the years and amounts\* as follows:

|      |           |      |           |      |           |      |           |      |           |
|------|-----------|------|-----------|------|-----------|------|-----------|------|-----------|
| 2015 | \$375,000 | 2018 | \$390,000 | 2021 | \$415,000 | 2024 | \$445,000 | 2027 | \$490,000 |
| 2016 | \$380,000 | 2019 | \$395,000 | 2022 | \$420,000 | 2025 | \$460,000 | 2028 | \$505,000 |
| 2017 | \$385,000 | 2020 | \$405,000 | 2023 | \$435,000 | 2026 | \$475,000 | 2029 | \$525,000 |

\* *The County reserves the right, after proposals are opened and prior to award, to increase or reduce the principal amount of the Bonds or the amount of any maturity in multiples of \$5,000. In the event the amount of any maturity is modified, the aggregate purchase price will be adjusted to result in the same gross spread per \$1,000 of Bonds as that of the original proposal. Gross spread is the differential between the price paid to the County for the new issue and the prices at which the securities are initially offered to the investing public.*

Proposals for the Bonds may contain a maturity schedule providing for a combination of serial bonds and term bonds. All term bonds shall be subject to mandatory sinking fund redemption at a price of par plus accrued interest to the date of redemption scheduled to conform to the maturity schedule set forth above. In order to designate term bonds, the proposal must specify "Years of Term Maturities" in the spaces provided on the Proposal form.

**BOOK ENTRY SYSTEM**

The Bonds will be issued by means of a book entry system with no physical distribution of Bonds made to the public. The Bonds will be issued in fully registered form and one Bond, representing the aggregate principal amount of the Bonds maturing in each year, will be registered in the name of Cede & Co. as nominee of The Depository Trust Company ("DTC"), New York, New York, which will act as securities depository of the Bonds. Individual purchases of the Bonds may be made in the principal amount of \$5,000 or any multiple thereof of a single maturity through book entries made on the books and records of DTC and its participants. Principal and interest are payable by the registrar to DTC or its nominee as registered owner of the Bonds. Transfer of principal and interest payments to participants of DTC will be the responsibility of DTC; transfer of principal and interest payments to beneficial owners by participants will be the responsibility of such participants and other nominees of beneficial owners. The purchaser, as a condition of delivery of the Bonds, will be required to deposit the Bonds with DTC.

**REGISTRAR**

The County Auditor of the County will serve as registrar.

**OPTIONAL REDEMPTION**

The County may elect on December 1, 2022, and on any day thereafter, to prepay Bonds due on or after December 1, 2023. Redemption may be in whole or in part and if in part at the option of the County and in such manner as the County shall determine. If less than all Bonds of a maturity are called for redemption, the County will notify DTC of the particular amount of such maturity to be prepaid. DTC will determine by lot the amount of each participant's interest in such maturity to be redeemed and each participant will then select by lot the beneficial ownership interests in such maturity to be redeemed. All prepayments shall be at a price of par plus accrued interest.

SECURITY AND PURPOSE

The Bonds will be general obligations of the County for which the County will pledge its full faith and credit and power to levy direct general ad valorem taxes. The proceeds will be used to finance improvements to the Northeast Regional Corrections Center located in the County.

BIDDING PARAMETERS

Proposals shall be for not less than \$6,415,500 plus accrued interest, if any, on the total principal amount of the Bonds.

No proposal can be withdrawn or amended after the time set for receiving proposals unless the meeting of the County scheduled for award of the Bonds is adjourned, recessed, or continued to another date without award of the Bonds having been made. Rates shall be in integral multiples of 1/100 or 1/8 of 1%. The initial price to the public for each maturity must be 98.0% or greater. Bonds of the same maturity shall bear a single rate from the date of the Bonds to the date of maturity. No conditional proposals will be accepted.

GOOD FAITH DEPOSIT

Proposals, regardless of method of submission, shall be accompanied by a Deposit in the amount of \$65,000, in the form of a certified or cashier's check, a wire transfer, or Financial Surety Bond and delivered to Springsted Incorporated prior to the time proposals will be opened. Each bidder shall be solely responsible for the timely delivery of their Deposit whether by check, wire transfer or Financial Surety Bond. Neither the County nor Springsted Incorporated have any liability for delays in the transmission of the Deposit.

Any Deposit made by **certified or cashier's check** should be made payable to the County and delivered to Springsted Incorporated, 380 Jackson Street, Suite 300, St. Paul, Minnesota 55101.

Any Deposit sent via **wire transfer** should be sent to Springsted Incorporated as the County's agent according to the following instructions:

Wells Fargo Bank, N.A., San Francisco, CA 94104  
ABA #121000248  
for credit to Springsted Incorporated, Account #635-5007954  
Ref: St. Louis County, MN Series 2014A Good Faith Deposit

Contemporaneously with such wire transfer, the bidder shall send an e-mail to [bond\\_services@springsted.com](mailto:bond_services@springsted.com), including the following information; (i) indication that a wire transfer has been made, (ii) the amount of the wire transfer, (iii) the issue to which it applies, and (iv) the return wire instructions if such bidder is not awarded the Bonds.

Any Deposit made by the successful bidder by check or wire transfer will be delivered to the County following the award of the Bonds. Any Deposit made by check or wire transfer by an unsuccessful bidder will be returned to such bidder following County action relative to an award of the Bonds.

If a **Financial Surety Bond** is used, it must be from an insurance company licensed to issue such a bond in the State of Minnesota and pre-approved by the County. Such bond must be submitted to Springsted Incorporated prior to the opening of the proposals. The Financial Surety Bond must identify each underwriter whose Deposit is guaranteed by such Financial Surety Bond. If the Bonds are awarded to an underwriter using a Financial Surety Bond, then that underwriter is required to submit its Deposit to the County in the form of a certified or cashier's check or wire transfer as instructed by Springsted Incorporated not later than 3:30 P.M., Central Time on the next business day following the award. If such Deposit is not received by that time, the Financial Surety Bond may be drawn by the County to satisfy the Deposit requirement.

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The Deposit received from the purchaser, the amount of which will be deducted at settlement, will be deposited by the County and no interest will accrue to the purchaser. In the event the purchaser fails to comply with the accepted proposal, said amount will be retained by the County.

**AWARD**

The Bonds will be awarded on the basis of the lowest interest rate to be determined on a true interest cost (TIC) basis calculated on the proposal prior to any adjustment made by the County. The County's computation of the interest rate of each proposal, in accordance with customary practice, will be controlling.

The County will reserve the right to: (i) waive non-substantive informalities of any proposal or of matters relating to the receipt of proposals and award of the Bonds, (ii) reject all proposals without cause, and (iii) reject any proposal that the County determines to have failed to comply with the terms herein.

**BOND INSURANCE AT PURCHASER'S OPTION**

The County has **not** applied for or pre-approved a commitment for any policy of municipal bond insurance with respect to the Bonds. If the Bonds qualify for municipal bond insurance and a bidder desires to purchase a policy, such indication, the maturities to be insured, and the name of the desired insurer must be set forth on the bidder's Proposal. The County specifically reserves the right to reject any bid specifying municipal bond insurance, even though such bid may result in the lowest TIC to the County. All costs associated with the issuance and administration of such policy and associated ratings and expenses (other than any independent rating requested by the County) shall be paid by the successful bidder. Failure of the municipal bond insurer to issue the policy after the award of the Bonds shall not constitute cause for failure or refusal by the successful bidder to accept delivery of the Bonds.

**CUSIP NUMBERS**

If the Bonds qualify for assignment of CUSIP numbers such numbers will be printed on the Bonds, but neither the failure to print such numbers on any Bond nor any error with respect thereto will constitute cause for failure or refusal by the purchaser to accept delivery of the Bonds. The CUSIP Service Bureau charge for the assignment of CUSIP identification numbers shall be paid by the purchaser.

**SETTLEMENT**

On or about January 6, 2014, the Bonds will be delivered without cost to the purchaser through DTC in New York, New York. Delivery will be subject to receipt by the purchaser of an approving legal opinion of Fryberger, Buchanan, Smith & Frederick, P.A., Duluth, Minnesota, and of customary closing papers, including a no-litigation certificate. On the date of settlement, payment for the Bonds shall be made in federal, or equivalent, funds that shall be received at the offices of the County or its designee not later than 12:00 Noon, Central Time. Unless compliance with the terms of payment for the Bonds has been made impossible by action of the County, or its agents, the purchaser shall be liable to the County for any loss suffered by the County by reason of the purchaser's non-compliance with said terms for payment.

**CONTINUING DISCLOSURE**

In accordance with SEC Rule 15c2-12(b)(5), the County will undertake, pursuant to the resolution awarding sale of the Bonds, to provide annual reports and notices of certain events. A description of this undertaking is set forth in the Official Statement. The purchaser's obligation to purchase the Bonds will be conditioned upon receiving evidence of this undertaking at or prior to delivery of the Bonds.

OFFICIAL STATEMENT

The County has authorized the preparation of an Official Statement containing pertinent information relative to the Bonds, and said Official Statement will serve as a nearly final Official Statement within the meaning of Rule 15c2-12 of the Securities and Exchange Commission. For copies of the Official Statement or for any additional information prior to sale, any prospective purchaser is referred to the Financial Advisor to the County, Springsted Incorporated, 380 Jackson Street, Suite 300, Saint Paul, Minnesota 55101, telephone (651) 223-3000.

The Official Statement, when further supplemented by an addendum or addenda specifying the maturity dates, principal amounts and interest rates of the Bonds, together with any other information required by law, shall constitute a "Final Official Statement" of the County with respect to the Bonds, as that term is defined in Rule 15c2-12. By awarding the Bonds to any underwriter or underwriting syndicate submitting a proposal therefor, the County agrees that, no more than seven business days after the date of such award, it shall provide without cost to the senior managing underwriter of the syndicate to which the Bonds are awarded up to 25 copies of the Official Statement and the addendum or addenda described above. The County designates the senior managing underwriter of the syndicate to which the Bonds are awarded as its agent for purposes of distributing copies of the Final Official Statement to each Participating Underwriter. Any underwriter delivering a proposal with respect to the Bonds agrees thereby that if its proposal is accepted by the County (i) it shall accept such designation and (ii) it shall enter into a contractual relationship with all Participating Underwriters of the Bonds for purposes of assuring the receipt by each such Participating Underwriter of the Final Official Statement.

Dated October 8, 2013

BY ORDER OF THE COUNTY BOARD

/s/ Kevin Gray  
County Administrator

Commissioner Nelson moved the adoption of the Resolution and it was declared adopted upon the following vote:  
Yeas – Commissioners Jewell, Miller, Forsman, Stauber, Nelson and Chair Dahlberg - 6  
Nays – None  
Absent – Commissioner Raukar - 1

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**STATE OF MINNESOTA**  
Office of County Auditor, ss.  
County of St. Louis

I, **DONALD DICKLICH**, Auditor of the County of St. Louis, do hereby certify that I have compared the foregoing with the original resolution filed in my office on the 8<sup>th</sup> day of October, A.D. 2013, and that this is a true and correct copy.

WITNESS MY HAND AND SEAL OF OFFICE at Duluth, Minnesota, this 8<sup>th</sup> day of October, A.D., 2013.

DONALD DICKLICH, COUNTY AUDITOR

By

Deputy Auditor/Clerk of the County Board