

## **Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

Grantees eligible to receive funds under the Homelessness Prevention and Rapid Re-Housing Program (HPRP) are required to complete a substantial amendment to their Consolidated Plan 2008 Action Plan. This form sets forth the required format for this substantial amendment. A completed form is due to HUD within 60 days of the publication of the HUD HPRP notice.

To aid grantees in meeting this submission deadline, the HPRP Notice reduces the requirement for a 30-day public comment period to no less than 12 calendar days for this substantial amendment. With this exception, HPRP grantees are required to follow their Consolidated Plan's citizen participation process, including consultation with the Continuum of Care (CoC) in the appropriate jurisdiction(s). Grantees are also required to coordinate HPRP activities with the CoC's strategies for homeless prevention and ending homelessness. To maximize transparency, HUD strongly recommends that each grantee post its substantial amendment materials on the grantee's official website as the materials are developed.

A complete submission contains the following three documents:

- 1) A signed and dated SF-424,
- 2) A completed form HUD-40119 (this form), and
- 3) Signed and dated General Consolidated Plan and HPRP certifications.

For additional information regarding the HPRP program, visit the HUD Homelessness Resource Exchange ([www.hudhre.info](http://www.hudhre.info)). This site will be regularly updated to include HPRP resources developed by HUD and its technical assistance providers.

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The information collection requirements contained in this application have been submitted to the Office of Management and Budget (OMB) for review under the Paperwork Reduction Act of 1995 (44 U.S.C. 3501-3520). This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

*Information is submitted in accordance with the regulatory authority contained in each program rule. The information will be used to rate applications, determine eligibility, and establish grant amounts.*

Public reporting burden for this collection of information is estimated to be 16 hours, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This information is required to obtain benefits. To the extent that any information collected is of a confidential nature, there will be compliance with Privacy Act requirements. However, the substantial amendment to the Consolidated Plan 2008 Action Plan does not request the submission of such information.

Warning: HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

**Substantial Amendment to the Consolidated Plan 2008 Action Plan for the Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

**A. General Information**

<b>Grantee Name</b>	St. Louis County – Minnesota
<b>Name of Entity or Department Administering Funds</b>	St. Louis County Planning and Development Department
<b>HPRP Contact Person</b> (person to answer questions about this amendment and HPRP)	Steve Nelson
<b>Title</b>	Housing Program Manager
<b>Address Line 1</b>	307 South 1 <sup>st</sup> Street, Suite 117
<b>Address Line 2</b>	
<b>City, State, Zip Code</b>	Virginia, MN 55792
<b>Telephone</b>	218-742-9561
<b>Fax</b>	218-749-7194
<b>Email Address</b>	<a href="mailto:nelsonst@co.st-louis.mn.us">nelsonst@co.st-louis.mn.us</a>
<b>Authorized Official</b> (if different from Contact Person)	Barbara Hayden
<b>Title</b>	Planning and Development Director
<b>Address Line 1</b>	100 Missabe Building
<b>Address Line 2</b>	227 West Superior Street
<b>City, State, Zip Code</b>	Duluth, MN 55802-1913
<b>Telephone</b>	218-725-5008
<b>Fax</b>	218-725-5029
<b>Email Address</b>	<a href="mailto:haydenb@co.st-louis.mn.us">haydenb@co.st-louis.mn.us</a>
<b>Web Address where this Form is Posted</b>	<a href="http://www.co.st-louis.mn.us">www.co.st-louis.mn.us</a>

<b>Amount Grantee is Eligible to Receive*</b>	<b>\$1,001,832</b>
<b>Amount Grantee is Requesting</b>	<b>\$1,001,832</b>

\*Amounts are available at <http://www.hud.gov/recovery/homelesspreventrecov.xls>

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**B. Citizen Participation and Public Comment**

1. Briefly describe how the grantee followed its citizen participation plan regarding this proposed substantial amendment (limit 250 words).

Response: Notice of proposed substantial amendments to the St. Louis County HUD Consolidated Plan must be published in the County's newspaper of record, the Duluth News Tribune. The proposed substantial amendment is available to the public in the St. Louis County Planning and Development Department offices in Duluth and Virginia. To encourage greater citizen participation, the substantial amendment is also accessible from the St. Louis County web site:

[www.co.st-louis.mn.us](http://www.co.st-louis.mn.us)

Notice of a public comment period on and availability of the substantial amendment to the St. Louis County FY 2008 Action Plan was published in the Duluth News Tribune on Monday, April 20, 2009. Public review and comment was requested beginning Wednesday, April 22 through Tuesday, May 5, 2009. The St. Louis County Board of Commissioners scheduled a public hearing on the substantial amendment for Tuesday, May 12, 2009.

2. Provide the appropriate response regarding this substantial amendment by checking one of the following options:

- Grantee did not receive public comments.
- Grantee received and accepted all public comments.
- Grantee received public comments and did not accept one or more of the comments.

3. Provide a summary of the public comments regarding this substantial amendment. Include a summary of any comments or views not accepted and the reasons for non-acceptance.

Response:

**C. Distribution and Administration of Funds**

Reminder: The HPRP grant will be made by means of a grant agreement executed by HUD and the grantee. The three-year deadline to expend funds begins when HUD signs the grant agreement. Grantees should ensure that sufficient planning is in place to begin to expend funds shortly after grant agreement.

1. Check the process(es) that the grantee plans to use to select subgrantees. Note that a subgrantee is defined as the organization to which the grantee provides HPRP funds.

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- Competitive Process  
 Formula Allocation  
 Other (Specify: \_\_\_\_\_)

2. Briefly describe the process(es) indicated in question 1 above (limit 250 words).

Response: St. Louis County intends to issue a joint request for proposals (RFP) with the city of Duluth in late May or early June. The RFP will be published in the Duluth News Tribune, posted to the County's web site, and distributed directly to agencies providing services connected to the St. Louis County Continuum of Care. The City and County will hold a joint technical assistance session to aid agencies with submission of proposals. Proposals received will be cooperatively reviewed by the Rural St. Louis County Housing Coalition, St. Louis County Committee to End Homelessness (Continuum of Care group), City of Duluth, and the St. Louis County Departments of Planning and Development and Public Health and Human Services. The funding recommendation will be provided to the St. Louis County Board of Commissioners for authorization to issue contracts.

3. Briefly describe the process the grantee plans to use, once HUD signs the grant agreement, to allocate funds available to subgrantees by September 30, 2009, as required by the HPRP Notice (limit 250 words).

Response: the St. Louis County will issue subgrantee contracts following HUD approval of the substantial amendment and authorization to contract from the County Board.

4. Describe the grantee's plan for ensuring the effective and timely use of HPRP grant funds on eligible activities, as outlined in the HPRP Notice. Include a description of how the grantee plans to oversee and monitor the administration and use of its own HPRP funds, as well as those used by its subgrantees (limit 500 words).

Response: The St. Louis County Auditor's Office provides internal control mechanisms and separation of duties to assure the grantees proper administration of the HPRP funding. St. Louis County Planning and Development staff will monitor HPRP expenditure and administration by subgrantees using similar procedures employed with CDBG -and ESG-funded public service activities. Subgrantees will be required to use Minnesota's HMIS reporting system for all activities receiving HPRP funding. The HMIS system will provide individual agency and aggregate monitoring reports as well as data for HUD reporting requirements.

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### **D. Collaboration**

1. Briefly describe how the grantee plans to collaborate with the local agencies that can serve similar target populations, which received funds under the American Recovery and Reinvestment Act of 2009 from other Federal agencies, including the U.S. Departments of Education, Health and Human Services, Homeland Security, and Labor (limit 250 words).

Response: Representatives of the St. Louis County Planning and Development Department and Public Health and Human Services Department along with staff from the city of Duluth have been meeting to integrate HPRP funding with federal Continuum of Care and state Family Homeless Prevention and Assistance (FHPAPA) funding. These departments collectively oversee CDBG, ESG, HOME, FHPAP, and HUD Continuum of Care funding.

2. Briefly describe how the grantee plans to collaborate with appropriate Continuum(s) of Care and mainstream resources regarding HPRP activities (limit 250 words).

Response: St. Louis County appoints representatives to the Heading Home St. Louis County Implementation Team (10-year plan to end homelessness) and facilitates meetings of the Committee to End Homelessness (CEH). The CEH is made up of service provider agencies associated with the St. Louis County Continuum of Care. The CEH will also assist with review of the HPRP proposals received. The County's Departments of Planning and Development and Public Health and Human Services along with the city of Duluth have been meeting to coordinate the use of HPRP funding with other financial and supportive service resources available through the city, county, and agencies.

3. Briefly describe how HPRP grant funds for financial assistance and housing relocation/stabilization services will be used in a manner that is consistent with the grantee's Consolidated Plan (limit 250 words).

Response: St. Louis County HPRP funding will complement the activities funded with CDBG, ESG, FHPAP, and Continuum of Care funding. These activities are consistent with the St. Louis County Continuum of Care and the St. Louis County 2005-2009 Consolidated Plan. The activities include financial assistance and housing stabilization services similar to those activities eligible under the HPRP.

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**E. Estimated Budget Summary**

HUD requires the grantee to complete the following table so that participants in the citizen participation process may see the grantee’s preliminary estimated amounts for various HPRP activities. Enter the estimated budget amounts for each activity in the appropriate column and row. The grantee will be required to report actual amounts in subsequent reporting.

<b>HPRP Estimated Budget Summary</b>			
	<b>Homelessness Prevention</b>	<b>Rapid Re-housing</b>	<b>Total Amount Budgeted</b>
Financial Assistance <sup>1</sup>	\$273,500	\$273,500	\$547,000
Housing Relocation and Stabilization Services <sup>2</sup>	\$182,333	\$182,333	\$364,666
<b>Subtotal</b> (add previous two rows)	<b>\$455,833</b>	<b>\$455,833</b>	<b>\$911,666</b>
Data Collection and Evaluation <sup>3</sup>			\$40,073
Administration (up to 5% of allocation)			\$50,093
<b>Total HPRP Amount Budgeted<sup>4</sup></b>			<b>\$1,001,832</b>

<sup>1</sup>Financial assistance includes the following activities as detailed in the HPRP Notice: short-term rental assistance, medium-term rental assistance, security deposits, utility deposits, utility payments, moving cost assistance, and motel or hotel vouchers.

<sup>2</sup>Housing relocation and stabilization services include the following activities as detailed in the HPRP Notice: case management, outreach, housing search and placement, legal services, mediation, and credit repair.

<sup>3</sup>Data collection and evaluation includes costs associated with operating HUD-approved homeless management information systems for purposes of collecting unduplicated counts of homeless persons and analyzing patterns of use of HPRP funds.

<sup>4</sup>This amount must match the amount entered in the cell on the table in Section A titled “Amount Grantee is Requesting.”

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**F. Authorized Signature**

By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete, and accurate to the best of my knowledge. I also provide the required assurances and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

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Signature/Authorized Official

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Date

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Title