

2002 Progress Report - Forest Landowners and Companies with Manufacturing Facilities and Forestland

Program Participant:

For program participants that own forestland or have long-term lease land, please mark your response to each statement. If you respond "no," you must attach an explanation. All information and data on this form is strictly confidential, to be released only in aggregate form. All report forms will be destroyed after the data is aggregated.

Confirmation of Adherence to Sustainable Forestry Objectives - Performance Measures (Canadian members using CSA's CAN/CSA-Z809 should refer to instructions listed in the Reference Information Section (Question 1, third paragraph) on Page 8)

1. Sustainable Forestry (Objectives 1 & 8)

- a. Our organization has defined and implemented its policies, programs and plans, which will ensure implementation of the Sustainable Forestry Initiative Standard (SFIS) Principles, Objectives, Performance Measures and Core Indicators. Yes No
- b. Our organization has informed employees involved in forest management and mill management of these policies and what is expected of them. Yes No
- c. To assist in implementing Objective 8 of the SFIS Principles and Objectives, our organization has clearly defined in writing, and distributed to loggers, chip suppliers, dealers and other raw material suppliers, our organization specific procurement policy, program or plan. Yes No

2. Reforestation (Objective 2)

- a. After final harvest, it is our policy to reforest by planting or seeding within two years, naturally reforest within five years, or use other regeneration practices which are fully consistent with the SFIS. Yes No

3. Water Quality Protection (Objective 3)

- a. Our organization materially complied with or exceeded Best Management Practices (BMPs), applicable state or provincial water quality laws and regulations and the requirements of the Clean Water Act for forestlands. Yes No
- b. Our organization has established and implemented appropriate riparian protection measures for all perennial streams and lakes. Yes No

4. Wildlife Habitat Conservation (Objective 4)

- a. Our organization has clearly defined and implemented policies, programs and plans to promote wildlife habitat diversity. Yes No

5. Protecting Visual Quality (Objective 5)

- a. Our organization has defined and implemented policies, programs and plans for improving the visual quality of forest harvesting on our lands. Yes No

6. Conservation of Special Sites (Objective 6)

- a. Our organization has identified and is managing special sites in a manner appropriate to their unique features Yes No

Program Participant Affirmed by (Signature)

Corporate Contact (for more information) (Please print name and title for affirmed by)

Title of Corporate Contact Phone for Corporate Contact Fax for Corporate Contact

*Objectives and performance number references are to the 2002 edition of the Sustainable Forestry Initiative® Standard.

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Email for Corporate Contact: _____

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Program Participant:

For member companies and licensees that own forestland or have long-term lease land. **All forms with participant-specific information will be destroyed after the data is aggregated.**

I. General Information

A. Forestland

1. How many acres of forestland does your company or organization own or lease (long-term)?

U.S. 699,170 (acres) Canada (Freehold) _____ (acres)
 Other _____ (country) _____ (acres) Canada (Crown) _____ (acres)
 Other _____ (country) _____ (acres) Other _____ (country) _____ (acres)
 Other _____ (country) _____ (acres) Other _____ (country) _____ (acres)
 Other _____ (country) _____ (acres) Other _____ (country) _____ (acres)

(Attach extra sheet if needed.)

B. For U.S. and Canadian Acreage, please list totals by state and province (attach extra sheet if needed):

State or Province and Acreage (e.g. South Carolina-700,000 acres). **Note: Canadian participants, please distinguish between Crown and Freehold acreage.**

Minnesota 699,170 _____ _____ _____ _____
 _____ _____ _____ _____ _____
 _____ _____ _____ _____ _____
 _____ _____ _____ _____ _____

C. Certification

1. Has your company or organization completed or initiated third party certification? Yes No
2. If yes, please complete the following:

Certification Program	Country & Region	Acres	Date Completed or Expected	Certifiers
Example: SFI/ISO 14001	U.S. Western Region	1,000,000	12/31/02	Forest Certifiers, Inc.

Notes: _

*Objectives and performance number references are to the 2002 edition of the Sustainable Forestry Initiative® Standard.

D. Cooperative Management Agreements

1. How many acres of participant-owned or controlled land or miles of stream are in wildlife/fisheries agreements, which specify on-the-ground management practices for a specific area (e.g., HCPs, MOU/MOAs, Conservation Agreements):

Agreement	Acres	Miles of Stream
Formal Signed	21770	
Informal		
Total Acres*	21770	

(*Note: Do not double count acres or miles of stream; acres or miles counted as part of one agreement should not be counted again if they are also part of another agreement. Also, the total number of acres listed here cannot exceed the number of acres listed in IA above.)

E. Land Classification

1. Does your organization have a method of land classification? Yes No
2. If yes, is this classification system used to map, monitor, or plan for non-timber resources such as wildlife habitat or the conservation of biodiversity (e.g., streamside zones, threatened or endangered species, soils, unique habitat features)? Yes No

F. Written Wildlife Plans

1. Do you have a written management plan that incorporates wildlife habitat/biodiversity conservation objectives into your forest management decision process? Yes No

G. Forest Inventory

1. Does your organization have a periodic or continuous forest inventory system? Yes No

H. Recreation

1. For lands owned or controlled by your organization, how many acres are open to the public for recreation (this includes private leases and public permits)? 874,371 (acres)
2. Does your organization track the number of people using the land for recreation? Yes No

*Objectives and performance number references are to the 2002 edition of the Sustainable Forestry Initiative® Standard.

II. Harvesting and Reforestation—Participant Land

A. How many acres of harvest units that require regeneration were completed in 2002 by:

Harvest Method	Acres	Average Acres Per Clearcut
1. Clearcutting	CC 5887	70
2. Seed Tree/Shelterwood	DSM 2098	
3. Selection Methods	MCO 210	
4. Total Acres Harvested that Require Regeneration	7985	

B. Number of acres harvested in 2002 that do not require regeneration (thinning, sanitation salvage, etc.)? 210 (ac)

C. Of those acres harvested in 2002, how many acres will be: Planted or seeded? 1200 (ac)
 Naturally regenerated? 6785 (ac)
 Total planned* 7985 (ac)

*The total acreage listed here should be equal to the amount listed in IIA4.

D. In 2002, how many acres did you reforest by natural regeneration 5848 (ac) and by:

	Within 1 year of Final Harvest	Within 2 years of Final Harvest	More than 2 years after Final Harvest	Total for 2002 (sum of all three)
Planting	150	+ 496	+ 531	= 1177
Seeding	235	+ 79		= 314

E. What % of harvest units requiring regeneration have been successfully regenerated after five full growing seasons post-harvest? 98 %

III. Research Funding - Internal & External

Category	Internal	External
Forest Health and Productivity	\$ 3240	\$
Water Quality	\$	\$
Wildlife and Fish	\$	\$
Landscape/Ecosystem Management, Biological Diversity	\$ 76078	\$
Other	\$	\$

*Objectives and performance number references are to the 2002 edition of the Sustainable Forestry Initiative® Standard.

IV. Raw Material Supply - Participant Land and Procurement from Other Sources

- A. Number of private landowners selling timber (stumpage or logs) directly to your organization in 2002: _____.
- 1. Number of those private landowners who received information directly from your employees on the advantages of reforestation and Best Management Practices (BMPs): _____.
- B. Number of private landowners who received information on reforestation and BMPs from loggers (or others) who purchased their wood and delivered it to your facilities: _____.
- C. What percentage (volume basis) of residual suppliers external to your organization, from whom you purchase wood chips, have you contacted to inform them about the SFI program, and logger/forester training and education objectives? _____%
- D. Total volume of raw material your manufacturing facilities (including log & chip exports) used that was delivered from:

	Volume	% Delivered by Trained Loggers
1. U.S. fee-land & long-term lease	_____	_____
2. Other sources		
a. Direct purchase from private landowners	_____	_____
b. Loggers (gateway)	_____	_____
c. Wood dealers (yard wood & whole log chips)	_____	_____
d. All other (public-U.S.)	_____	_____
3. Canada-Freehold Land	_____	_____
4. Canada-Crown Lands		
Provincial	_____	_____
Federal	_____	_____

[Please note: For AF&PA members, the aggregated wood use and forest acres data reported here will also be used in calculating your company's 2003 dues under AF&PA's value-based dues systems. Be assured that your company's data will be held in strict confidence.]

Volume Units	
<u>(Please Check Units Reported in Question IV.D.)</u>	
_____ Bone Dry Tons	_____ Cunits-Whole Tree Chips
_____ Bone Dry Units	_____ Green Tons
_____ Cords	_____ MBF-Doyle
_____ Cubic Meters	_____ MBF-International 1/4"
_____ Cunits-Chips (CCF)	_____ MBF-Scribner ("C" or "small")
_____ Cunits-Roundwood	_____ MBF-Scribner ("Large" or "Long")
_____ Cunits-Roundwood	_____ MCF-Thousand Cubic Feet
_____ Cunits-Sawdust	_____ Other-please specify

- E. Funding provided in 2002 for SFI program implementation activities at the state or provincial level
\$ _____.
- F. Number of employees with SFI program responsibilities that have been informed of the SFIS Principles and

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Guidelines and what is expected of them: _____; total number of employees that have SFI responsibilities:
_____.

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V. Landowner Assistance Programs

A. Landowner Assistance Program report for 2001-2002 planting season (Fall of 2001, Spring of 2002)

The following questions should be answered to the best of your ability.

1. Does your organization provide forest management assistance to non-industrial private forest (NIPF) landowners? If no, then skip to the bottom of this page, sign and return the survey. Yes No
2. Do you supply a forest management plan to your clients? If, no, then go to question 4. Yes No
3. Which of the following are addressed in the management plan that you provide? Check all that apply.

<input type="checkbox"/> Timber Management	<input type="checkbox"/> Soil and Water Conservation
<input type="checkbox"/> Wildlife Management	<input type="checkbox"/> Best Management Practices
<input type="checkbox"/> Recreational Uses	<input type="checkbox"/> Endangered Species
<input type="checkbox"/> Sustainable Forestry Initiative® Program	
4. Number of acres managed by landowners participating in your assistance program. Do not include agricultural or other lands unless they are referenced in the management plan: _____ (acres).
5. What percentage of the acres artificially regenerated with your assistance in the 2001-2002 planting season were completed within 2 years of the final harvest? _____ (%)
6. How many NIPF landowners do you provide forest management advice on a continuing basis, i.e., year after year¹? _____.
7. How many other NIPF landowners did you assist in 2001? _____.
- 7(a). Total number of NIPF landowners assisted in 2001²? _____.
8. How many NIPF acres were artificially regenerated with your assistance in the 2001-2002 planting season?
Conifer _____ (acres) Hardwood _____ (acres)
9. How many NIPF acres did you assist NIPF landowners in planning for natural regeneration³?
Conifer _____ (acres) Hardwood _____ (acres)
10. How many seedlings did your organization provide to NIPF landowners at no cost in the 2001-2002 planting season?
Conifer _____ Hardwood _____

¹The total number of NIPF landowners enrolled in your landowner assistance program in 2001.
²The total number of NIPF landowners you assisted or provided advice to in 2001. This includes the landowners you provide advice to on a continuing basis (number in question #6) and all others assisted in 2001 (number in question #7).
³Naturally regenerated means that the stand was managed to achieve natural reproduction during 2001.

Program Participant **Affirmed by** **(Signature)**

Corporate Contact (for more information) **(Please print name and title for affirmed by)**

Title of Corporate Contact **Phone for Corporate Contact** **Fax for Corporate Contact**

E-mail for Corporate Contact: _____

By February 3, 2003, please return this form to Rick Cantrell,
 AF&PA - 1111 19th St., NW - Suite 800 - Washington, DC 20036
 Phone: 202/463-2432 Fax: 202/463-2708 E-mail: Rick_Cantrell@afandpa.org
 E-mail submissions are encouraged and will be promptly acknowledged upon receipt

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2002-Forest Landowners and Companies with Manufacturing Facilities and Forestland-Reference Information

For member companies and licensees that own forestland or have long-term lease land.

Question: Which AF&PA member companies and licensees must complete this report?

All AF&PA member companies and licensees that own forestland or have long-term lease land must complete and submit this form annually.

Question: Should the information be based on the fiscal or calendar year?

The information can be based on either, whichever is more convenient for you.

Question: How accurate must the data submitted be?

The data reported should be based on the best information available. In some cases, especially in the first years of implementation, this may be your best estimate.

Question: How will the information be used?

All information and data is strictly confidential, to be released only in aggregate form. Permission to use participant-specific information may be requested by AF&PA for use as noteworthy examples of compliance. All forms with participant-specific information will be destroyed after the data is aggregated.

Objectives Compliance Questions 1–6

Questions 1 through 6 are designed to determine overall progress with the most fundamental components of the Sustainable Forestry Initiative® Standard Principles and Objectives on participant-owned land or long-term leased land. As such, each question can be answered "yes" or "no." If you answer "no," however, please include an explanation of how your organization intends to implement the SFI program or how your organization is using the flexibility provided in the SFI Standard Objectives for site-specific situations. You do not need to complete this pledge form if you do not own timberland or manage long-term lease land.

Many of these questions ask if your organization has "defined and implemented its own policies, programs, and plans." How your organization defines its policies, programs and plans is strictly an internal exercise. AF&PA does not need to see any documentation other than answers to questions 1-6. Each organization determines the appropriate level of internal documentation required for its operation.

Canadian members using the Canadian Standards Association's Sustainable Forest Management System Standard, CAN/CSA-Z809, as the functional equivalent of the SFI program for fulfillment of the AF&PA membership requirement should answer "yes" to these questions and attach appropriate documentation demonstrating their compliance with the CSA standard.

Progress Report Questions I through V

Questions I through V have generated significant discussion regarding interpretation of terms and practices. Below is guidance regarding some of the most commonly asked questions related to interpretation. Your own interpretations, definitions and judgment will be required. Consider if your approach is "consistent with the spirit and intent of the SFI program" and if it is defensible and credible in the eyes of your peers.

Q.I.A. A forested area is classified as "forestland" if it is at least one acre in size and contains ten percent tree cover.

Q.I.D. A formal agreement is one that is signed by both the member company or licensee and the cooperating agency, foundation, or non-governmental organization that specifies on-the-ground management practices for a identified land base. An informal agreement includes one of the above criteria—signed, specified on-the-ground management practices, or identified land base. Do not double count acres or miles of stream; acres or miles counted as part of one agreement should not be counted again if they are also part of another agreement.

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Q.1.E. A land classification system is any process by which land is divided into discrete ecological or functional units. Assignment into these units or "classes" can be based on soils, forest type, stand age, topography, vegetation, etc. The system may or may not be map-based.

A land classification system, with emphasis on wildlife and ecological values, is any process by which land is divided into discrete ecological or functional units. Assignment into these units or "classes" can be based on soils, forest type, stand age, topography, vegetation, etc. The system may or may not be map based. From an ecological viewpoint, the goal of employing a land classification system is to promote, through mapping and quantification, the evaluation and planning of habitat diversity in space and time.

Q.II This question is directed solely at harvest and regeneration activities on participant-owned and long-term lease land. A long-term lease is one that extends beyond a single rotation. If the number of years specified in or remaining on a lease is less than one rotation, the lands covered by such a lease would be considered "non-industrial" lands for SFI program compliance and reporting requirements.

Q.II.A. "Completed in 2002" refers to units where harvesting was completed in 2002. For example, harvesting activities might have begun in a unit in 2001, but were not finished until 2002; in this case, harvested acres would be reported as "completed in 2002."

Q.II.A.1. Definition of "clearcut." A variety of definitions exist for the term "clearcut." In order of preference, the following definitions should be used:

- First, use the legal definition within the state in which harvesting activities took place.
- Second, if no legal definition exists within the state, use the Society of American Foresters' definition.
- Clearcutting is a regeneration or harvest method that removes essentially all trees in a stand;
- Third, if the SAF definition is deemed to be inappropriate for your operations, use a definition that is consistent with the spirit and intent of the SFI.

Q.II.D. The replanting "clock" starts after the entire unit is harvested or sale has been completed (see guidance under Q.II.A). Do not include areas that were replanted due to poor seedling survival. "Failed plantation" data are ultimately captured in Q.II.E.

Q.II.E. This question is designed to provide information on regeneration successes across all regeneration categories: planting, seeding & natural regeneration. As an example, for the time frame ending 12-31-02, list the percentage of harvest units that have adequate regeneration after five complete growing seasons post-harvest.

Q.III List the amount of funding your organization provided this year for forest-related research within your organization (internal) and outside your organization (external) through grants, in-kind assistance, cooperatives, etc. Do not include your normal annual dues to state, regional or national trade associations; these will be separately researched and aggregated by AF&PA. Internal research funding includes salaries for forest-related research staff. While it is difficult in many instances to identify to which category research funding should be allocated, use your best judgment as to the primary intent of the given research project or effort. If you find it impossible to allocate research funding to the categories listed, list the total funding you provided in the "other" category and note as such.

Q.IV.A. "Landowners selling timber directly to your company or organization" means those private landowners with which your organization has a contractual relationship to purchase or harvest timber (i.e., stumpage or logs). Report purchases of timber from U.S. public lands in Question IV.D.2(d).

Q.IV.B. Your best estimate of the number of landowners who received information from those loggers (or others) from whom you purchased wood. The intent of this question is to document that SFI program participants have provided information to loggers, suppliers, and landowners on the advantages of reforestation, wildlife habitat and Best Management Practices to protect water quality.

Q.IV.D. In this question AF&PA is seeking the volume of raw material (roundwood and whole log chips) your manufacturing facilities (including log and chip exports) used sourced directly from the forest. Volumes do not have to be absolutely precise; they should be rounded to the nearest unit. Please specify the units (board feet, cubic feet, cords, tons, etc.) you are reporting by checking the appropriate line.

Table 1 contains the multipliers that are used by AF&PA staff to convert various volume units to "thousands of cubic feet"

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for reporting totals. If you are converting volumes for this report, you may want to use the appropriate multiplier from Table 1. Of course, you may use your own if you have a more accurate multiplier or conversion factor for your specific region and species.

Also, through this question AF&PA is seeking to determine the percentage of that raw material delivered by "trained and educated loggers." A logger is considered "trained and educated" if he/she meets the requirements adopted by the SFI State or Provincial Implementation Committee in the state or province in which he/she is operating (also, see enclosed white paper on logger training). In some cases, especially with material purchased through wood dealers, the percent of raw material delivered by trained loggers may be estimates. The intent is to demonstrate that SFI program participants have provided information on the benefits of logger training to suppliers and have assisted suppliers who are interested in training programs. Report purchases of timber from U.S. public lands in IV.D.2(d).

Q.IV.E. Include all funding your organization provided in 2002 to SFI State or Provincial Implementation Committees and others for logger training and education and all other SFI program implementation activities at the state or provincial level.

Q.IV.F. Your best estimate of the number of your employees involved in forest management and mill management that have SFI program responsibilities and have been informed about the SFI program and what is expected of them. Also list the total number of your employees that have SFI program responsibilities and are involved in forest and mill management.

Please return forms to Rick Cantrell, American Forest & Paper Association, 1111 19th Street, NW, Suite 800, Washington, DC 20036, by February 3, 2003. If you have any questions, please call Rick Cantrell at 202-463-2432, fax: 202-463-2708, e-mail: Rick_Cantrell@afandpa.org. E-mail submissions are encouraged and will be promptly acknowledged upon receipt.

Table 1. Volume Multipliers for Converting to Thousand Cubic Feet (MCF)

Bone Dry Tons	0.0713
Bone Dry Units	0.0825
Cords	0.0750
Cubic Meters	0.0353
Cunits-Chips (CCF)	0.1000
Cunits-Roundwood	0.1000
Cunits of Sawdust	0.1470
Cunits-Whole Tree Chip	0.1260
Green Tons	0.0315
MBF-Doyle	0.2220
MBF-International 1/4"	0.1460
MBF-Scribner ("C" or "Small")	0.1650
MBF-Scribner ("Large" or "Long")	0.1450
MCF-Thousand Cubic Feet	1.0000
Oven Dried Metric Tons	0.0758

*Objectives and performance number references are to the 2002 edition of the Sustainable Forestry Initiative® Standard.

- E. Amount of funding your organization provided in 1999 for SFI implementation activities at the state level \$ 118,000.
- F. Number of your employees with SFI responsibilities that have been informed of the SFI Principles and Guidelines and what is expected of them: 31; total number of employees that have SFI responsibilities: 38.

A. Please provide any additional information on projects or programs your organization undertook in 1999 which would be useful to incorporate in the AF&PA Sustainable Forestry Initiative Annual Progress Report.

B. Landowner Assistance Program Voluntary Survey for 1998-99 planting Season (Fall of 1998, Spring of 1999)

The following questions should be answered to the best of your ability.

1. Does your organization provide forest management assistance to nonindustrial private forest (NIPF) landowners? Yes No If no, then then do not go any further, and return the survey.
2. Do you supply a forest management plan to your clients? Yes No If, no, then go to question 4.
3. Which of the following are addressed in the management plan that you provide? Check all that apply.

<input type="checkbox"/> Timber Management	<input type="checkbox"/> Soil and Water Conservation
<input type="checkbox"/> Wildlife Management	<input type="checkbox"/> Best Management Practices
<input type="checkbox"/> Recreational Uses	<input type="checkbox"/> Endangered Species
<input type="checkbox"/> Sustainable Forestry Initiative SM Program	
4. Number of acres managed by landowners participating in your assistance program. Do not include agricultural or other lands unless they are referenced in the management plan: _____ (acres).
5. What percentage of the acres artificially regenerated with your assistance in the 1998-99 planting season were completed within 2 years of the final harvest? _____ (%)
6. How many NIPF landowners do you provide forest management advice on a continuing basis, i.e. year after year? _____
7. How many **other** NIPF landowners did you assist in 1998? _____
7(a). Total number of NIPF landowners assisted in 1998? _____
8. How many NIPF acres were artificially regenerated with your assistance in the 1998-1999 planting season?
Conifer _____ (acres) Hardwood _____ (acres)
9. How many NIPF acres did you assist NIPF landowners in planning for natural regeneration?³
Conifer _____ (acres) Hardwood _____ (acres)
10. How many seedlings did your organization provide to NIPF landowners at no cost in the 1998-1999 planting season?
Conifer _____ (acres) Hardwood _____ (acres)

¹The total number of NIPF landowners enrolled in your landowner assistance program in 1998.

²The total number of NIPF landowners you assisted or provided advice to in 1998. This includes the landowners you provide advice to on a continuing basis (number in question #1) and all others assisted in 1998 (number in question #2).

³Naturally regenerated means that the stand was managed to achieve natural reproduction during 1998.

Company/Licensee

Affirmed by (Signature)

Corporate Contact (for more information)

(Please print name)

Phone

Fax

Title

By February 1, 2000, return this form to Rick Cantrell, AF&PA
Phone: 202/463-2432 ♦ Fax: 202/463-2708
AF&PA ♦ 1111 19th St., NW ♦ Suite 800 ♦ Washington, DC 20036